

Substitute for form 1449A/PTO
(Modified)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

Application Number	09/728,212
Filing Date	November 29, 2000
First Named Inventor	John H. JERMAN
Art Unit	2828
Examiner Name	Rodriguez, Armando
Attorney Docket Number	A-70056/ENB (468880-41)

Sheet 2 of 5

U.S. PATENT DOCUMENTS

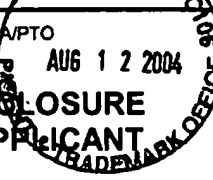
Examiner Initials*	Cite No. ¹	U.S. Patent Document Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
AL	A31	5,327,447	07-05-1994	Mooradian	
AL	A32	5,331,651	07-19-1994	Becker et al.	
AL	A33	5,347,527	09-13-1994	Favre et al.	
AL	A34	5,349,440	09-20-1994	DeGroot	
AL	A35	5,373,515	12-13-1994	Wakabayashi et al.	
AL	A36	5,387,974	02-07-1995	Nakatani	
AL	A37	5,412,474	05-02-1995	Reasenberget al.	
AL	A38	5,414,280	05-09-1995	Girmay	
AL	A39	5,418,800	05-23-1995	Prior et al.	
AL	A40	5,420,687	05-30-1995	Kachanov	
AL	A41	5,428,700	06-27-1995	Hall	
AL	A42	5,438,579	08-01-1995	Eda et al.	
AL	A43	5,444,724	08-22-1995	Goto	
AL	A44	5,450,202	09-12-1995	Tisue	
AL	A45	5,473,625	12-05-1995	Hansen et al.	
AL	A46	5,543,916	08-06-1996	Kachanov	
AL	A47	5,583,638	12-10-1996	Cutler	
AL	A48	5,594,744	01-14-1997	Lefevre et al.	
AL	A49	5,606,439	02-25-1997	Wu	
AL	A50	5,631,736	05-20-1997	Thiel et al.	
AL	A51	5,651,018	07-22-1997	Mehuys et al.	
AL	A52	5,673,129	09-30-1997	Mizrahi	
AL	A53	5,712,704	01-27-1998	Martin et al.	
AL	A54	5,719,674	02-17-1998	Martin et al.	
AL	A55	5,737,109	04-07-1998	Goodwin	
AL	A56	5,751,750	05-12-1998	Friede et al.	
AL	A57	5,760,391	06-02-1998	Narendran	
AL	A58	5,777,773	07-07-1998	Epworth et al.	
AL	A59	5,802,085	09-01-1998	Lefevre et al.	
AL	A60	5,812,716	09-22-1998	Ohishi	

Examiner Signature	Armando Rodriguez	Date Considered	9-1-04
--------------------	-------------------	-----------------	--------

Substitute for form 1449A PTO (Modified)		Complete if Known	
AUG 12 2004		Application Number	09/728,212
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Filing Date	November 29, 2000
(use as many sheets as necessary)		First Named Inventor	John H. JERMAN
		Art Unit	2828
		Examiner Name	Rodriguez, Armando
		Attorney Docket Number	A-70056/ENB (468880-41)
Sheet	1	of	5

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	U.S. Patent Document Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
AL	A1	4,460,977	07-14-1984	Shimada et al.	
AL	A2	4,504,950	03-13-1985	AuYeung	
AL	A3	4,560,246	12-24-1985	Cotter	
AL	A4	4,583,227	04-15-1986	Kirkby	
AL	A5	4,770,047	09-13-1988	Arditty et al.	
AL	A6	4,839,614	06-13-1989	Hill et al.	
AL	A7	4,843,233	06-27-1989	Jeunhomme	
AL	A8	4,847,854	07-11-1989	Van Dijk	
AL	A9	4,870,269	09-26-1989	Jeunhomme et al.	
AL	A10	4,932,782	06-12-1990	Graindorge et al.	
AL	A11	4,994,677	02-19-1991	Graindorge	
AL	A12	5,028,395	07-02-1991	Sebille et al.	
AL	A13	5,050,179	09-17-1991	Mooradian	
AL	A14	5,058,124	10-15-1991	Cameron et al.	
AL	A15	5,103,457	04-07-1992	Wallace et al.	
AL	A16	5,115,677	05-26-1992	Martin et al.	
AL	A17	5,124,993	06-23-1992	Braunlich et al.	
AL	A18	5,141,316	08-25-1992	Lefèvre et al.	
AL	A19	5,163,063	11-10-1992	Yoshikawa et al.	
AL	A20	5,172,185	12-15-1992	Leuchs et al.	
AL	A21	5,181,078	01-19-1993	Lefevre et al.	
AL	A22	5,181,214	01-19-1993	Berger et al.	
AL	A23	5,181,717	01-26-1993	Donntag et al.	
AL	A24	5,185,643	02-09-1993	Vry et al.	
AL	A25	5,218,610	06-08-1993	Dixon	
AL	A26	5,225,930	07-06-1993	Land et al.	
AL	A27	5,263,037	11-16-1993	Trutna, Jr. et al.	
AL	A28	5,270,791	12-14-1993	Lefevre et al.	
AL	A29	5,305,330	04-19-1994	Rieder et al.	
AL	A30	5,321,717	06-14-1994	Adachi et al.	

Examiner Signature	Armando Rodriguez	Date Considered	9-1-04
--------------------	-------------------	-----------------	--------

Substitute for form 1449A/PTO (Modified)				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	09/728,212		
		Filing Date	November 29, 2000		
		First Named Inventor	John H. JERMAN		
		Art Unit	2828		
		Examiner Name	Rodriguez, Armando		
		Attorney Docket Number	A-70056/ENB (468880-41)		
Sheet	3	of	5		

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	U.S. Patent Document Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
AL	A61	5,825,792	10-20-1998	Villeneuve et al.	
AL	A62	5,848,092	12-08-1998	Mitsumoto et al.	
AL	A63	5,862,162	01-19-1999	Maeda	
AL	A64	5,872,881	02-16-1999	Rossi et al.	
AL	A65	5,886,785	03-23-1999	Lefevre et al.	
AL	A66	5,917,188	06-29-1999	Atkinson et al.	
AL	A67	5,943,352	08-24-1999	Fee	
AL	A68	5,946,331	01-25-2000	Amersfoort et al.	
AL	A69	5,991,061	11-23-1999	Adams et al.	
AL	A70	6,018,535	01-25-2000	Maeda	
AL	A71	6,026,100	02-15-2000	Maeda	
AL	A72	6,034,799	03-07-2000	Hansen	
AL	A73	6,040,950	03-21-2000	Broome	
AL	A74	6,043,883	03-28-2000	Leckel et al.	
AL	A75	6,044,095	03-28-2000	Asano et al.	
AL	A76	6,061,369	05-09-2000	Conradi	
AL	A77	6,064,501	05-16-2000	Roberts et al.	
AL	A78	6,081,539	06-27-2000	Mattori et al.	
AL	A79	6,084,695	07-04-2000	Martin et al.	
AL	A80	6,108,355	08-22-2000	Zorabedian	
AL	A81	6,115,121	09-05-2000	Erskine	
AL	A82	6,115,401	09-05-2000	Scobey et al.	
AL	A83	US RE37,044 E	02-06-2001	Wu	
AL	A84	6,192,058 B1	02-20-2001	Abeles	
AL	A85	6,205,159 B1	03-20-2001	Sesko et al.	
AL	A86	6,215,802 B1	04-10-2001	Lunt	
AL	A87	6,229,835 B1	05-08-2001	Tomaru et al.	
AL	A88	6,243,517 B1	06-05-2001	Deacon	
AL	A89	6,246,480 B1	06-12-2001	O'Brien	
AL	A90	6,249,364 B1	06-19-2001	Martin et al.	

Examiner Signature	<i>Armando Rodriguez</i>	Date Considered	<i>9-1-04</i>
--------------------	--------------------------	-----------------	---------------

AUG 12 2004

(use as many sheets as necessary)

Application Number	09/728,212
Filing Date	November 29, 2000
First Named Inventor	John H. JERMAN
Art Unit	2828
Examiner Name	Rodriguez, Armando
Attorney Docket Number	A-70056/ENB (468880-41)

Sheet 4 of 5

[illegible]

Date Considered

Substitute for form 1449A/PTO (Modified)		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	09/491,429
		Filing Date	January 26, 2000
		First Named Inventor	John F. HEANUE
		Art Unit	2828
		Examiner Name	Rodriguez, Armando
Sheet 5 of 5	Attorney Docket Number	A-68918/ENB (468880-00007)	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ² Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				T ⁶
AR	C1	FAVRE, F. <i>et al.</i> , "External Cavity Semiconductor Laser", <i>Electronics Letters</i> , January 17, 1991, Vol. 27, No. 2, pp. 183-184.				
AR	C2	MacLEOD, H.A., "Thin-Film Optical Filters, Second Edition", McGraw-Hill, 1989, pp. 244-269.				
AR	C3	KETELSEN, L.J.P., "Simple Technique for Measuring Cavity Loss in Semiconductor Lasers", <i>Electronic Letters</i> , August 18, 1994, Vol. 30, No. 17, pp. 1422-1424.				
AR	C4	MELLIS, J. <i>et al.</i> , "Miniature Packaged External-Cavity Semiconductor Laser with 50 GHz Continuous Electrical Tuning Range", <i>Electronic Letters</i> , August 4, 1988, Vol. 24, No. 16, pp. 988-989.				
AR	C5	SCOBAY, M., <i>et al.</i> , "Stable Ultra-Narrow Bandpass Filters", <i>SPIE</i> , Vol. 2262, pp. 37-46.				
AR	C6	SHTENGEL, G. E., <i>et al.</i> , "Internal Optical Loss Measurements in 1.3 μ m InGaAsP Lasers", <i>Electronic Letters</i> , July 6, 1995, Vol. 31, No. 14, pp. 1157-1159.				
AR	C7	SIEGMAN, A.E., "LASERS", <i>University Science Books</i> , Chapter 11: Laser Mirrors and Regenerative Feedback, Section 11.5 Optical-Cavity Mode Frequencies, pp. 432-440.				
AR	C8	TAKAHASHI, H., "Temperature Stability of Thin-Film Narrow-Bandpass Filters Produced by Ion-Assisted Deposition", <i>Applied Optics</i> , February 1, 1995, Vol. 34, No. 4, pp. 667-675.				

1075410

Examiner Signature	Armando Rodriguez	Date Considered	9-1-07
--------------------	-------------------	-----------------	--------